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APPLICATION FOR LETTERS PATENT

**Annotation Management in a
Pen-Based Computing System**

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TECHNICAL FIELD

The systems and methods described herein relate to pen-based computing systems. More particularly, the systems and methods described herein relate to annotation management in a pen-based computing system.

BACKGROUND

The abundance of information available via network technology and advanced storage technology are but some of the reasons that a single person can now amass an enormous amount of information. This information takes many forms, including personal documents, web pages, multimedia content, and the like.

However, as the amount of information a user accumulates has greatly increased, so has the logistical problem of managing all of the information so that it is practical for the user to access and use all of the information. To efficiently manage such information, a user must have the facility to search the information, move data between files, utilize application programs with the information, share information with others, etc.

As traditional keyboard-based platforms give way to more and more pen-based platforms - such as PDAs (personal digital assistants), pocket PCs (personal computers), advanced telephones and pagers, etc. - information management has yet another problem to overcome because traditional ways to manage information do not always work well with the pen-based systems.

On the other hand, pen-based systems provide a new tool for managing information in that the pen functions to allow a user to enter handwritten annotations in an electronic document. Proper management of these handwritten

1 annotations can lead to easier and more efficient information management in pen-
2 based computing systems.

3 4 **SUMMARY**

5 Systems and methods are described for managing annotations in pen-based
6 computing systems. The systems and methods described herein provide ways to
7 collect, manage, search and share personal information entered by way of
8 handwritten annotations. Handwritten annotations include multiple types of mark-
9 up such as underlines, circles, blocks, arrows and callouts.

10 In one or more implementations described herein, annotations are used to
11 drive applications, serve as gestures, find related information of interest to a user,
12 and to further manage information. Context information is obtained when a user
13 enters an annotation, and is used to assist in determining and locating relevant
14 content in which the user may be interested, whether in the same document or a
15 different document located on a local computer or on the Internet or other
16 network.

17 The annotations may be used across several platforms and applications,
18 such as a word processor, a web browser, a personal information management
19 program, and the like. Annotations may also be anchored to a document so that
20 the annotation re-appears each time the document is recalled. Also, after one or
21 more documents are annotated, the annotations may be searched like any other
22 part of the document.

BRIEF DESCRIPTION OF THE DRAWINGS

A more complete understanding of exemplary methods and arrangements of the present invention may be had by reference to the following detailed description when taken in conjunction with the accompanying drawings wherein:

Fig. 1 is an exemplary computing environment.

Fig. 2 is a simplified block diagram of an annotation system architecture.

Fig. 3 is a flow diagram depicting a methodological implementation of scheduling a task with an annotation.

Fig. 4 is a flow diagram depicting a methodological implementation of a content search using keywords derived from an annotation and the context of the annotation.

Fig. 5 is a flow diagram depicting a methodological implementation of a keyword search using annotations.

Fig. 6 is a flow diagram depicting a methodological implementation of using annotations as gestures.

DETAILED DESCRIPTION

The following describes systems and methods for managing annotations in a pen-based computing system. The systems and methods that are described can automatically collect, manage, search and share personal information by utilizing a user's interaction, i.e. annotation(s), related to content viewed by the user.

Exemplary Computing Environment

Fig. 1 illustrates an example of a computing environment 100 within which the computer, network, and system architectures described herein can be either fully or partially implemented. Exemplary computing environment 100 is only

1 one example of a computing system and is not intended to suggest any limitation
2 as to the scope of use or functionality of the network architectures. Neither should
3 the computing environment 100 be interpreted as having any dependency or
4 requirement relating to any one or combination of components illustrated in the
5 exemplary computing environment 100.

6 The computer and network architectures can be implemented with
7 numerous other general purpose or special purpose computing system
8 environments or configurations. Examples of well known computing systems,
9 environments, and/or configurations that may be suitable for use include, but are
10 not limited to, personal computers, server computers, thin clients, thick clients,
11 hand-held or laptop devices, multiprocessor systems, microprocessor-based
12 systems, set top boxes, programmable consumer electronics, network PCs,
13 minicomputers, mainframe computers, gaming consoles, distributed computing
14 environments that include any of the above systems or devices, and the like.

15 Page-view recording with click-through tracking may be described in the
16 general context of computer-executable instructions, such as program modules,
17 being executed by a computer. Generally, program modules include routines,
18 programs, objects, components, data structures, etc. that perform particular tasks
19 or implement particular abstract data types. Page-view recording with click-
20 through tracking may also be practiced in distributed computing environments
21 where tasks are performed by remote processing devices that are linked through a
22 communications network. In a distributed computing environment, program
23 modules may be located in both local and remote computer storage media
24 including memory storage devices.

25

1 The computing environment 100 includes a general-purpose computing
2 system in the form of a computer 102. The components of computer 102 can
3 include, by are not limited to, one or more processors or processing units 104, a
4 system memory 106, and a system bus 108 that couples various system
5 components including the processor 104 to the system memory 106.

6 The system bus 108 represents one or more of any of several types of bus
7 structures, including a memory bus or memory controller, a peripheral bus, an
8 accelerated graphics port, and a processor or local bus using any of a variety of
9 bus architectures. By way of example, such architectures can include an Industry
10 Standard Architecture (ISA) bus, a Micro Channel Architecture (MCA) bus, an
11 Enhanced ISA (EISA) bus, a Video Electronics Standards Association (VESA)
12 local bus, and a Peripheral Component Interconnects (PCI) bus also known as a
13 Mezzanine bus.

14 Computer system 102 typically includes a variety of computer readable
15 media. Such media can be any available media that is accessible by computer 102
16 and includes both volatile and non-volatile media, removable and non-removable
17 media. The system memory 106 includes computer readable media in the form of
18 volatile memory, such as random access memory (RAM) 110, and/or non-volatile
19 memory, such as read only memory (ROM) 112. A basic input/output system
20 (BIOS) 114, containing the basic routines that help to transfer information
21 between elements within computer 102, such as during start-up, is stored in ROM
22 112. RAM 110 typically contains data and/or program modules that are
23 immediately accessible to and/or presently operated on by the processing unit 104.

24 Computer 102 can also include other removable/non-removable,
25 volatile/non-volatile computer storage media. By way of example, Fig. 1

1 illustrates a hard disk drive 116 for reading from and writing to a non-removable,
2 non-volatile magnetic media (not shown), a magnetic disk drive 118 for reading
3 from and writing to a removable, non-volatile magnetic disk 120 (e.g., a "floppy
4 disk"), and an optical disk drive 122 for reading from and/or writing to a
5 removable, non-volatile optical disk 124 such as a CD-ROM, DVD-ROM, or other
6 optical media. The hard disk drive 116, magnetic disk drive 118, and optical disk
7 drive 122 are each connected to the system bus 108 by one or more data media
8 interfaces 126. Alternatively, the hard disk drive 116, magnetic disk drive 118,
9 and optical disk drive 122 can be connected to the system bus 108 by a SCSI
10 interface (not shown).

11 The disk drives and their associated computer-readable media provide non-
12 volatile storage of computer readable instructions, data structures, program
13 modules, and other data for computer 102. Although the example illustrates a hard
14 disk 116, a removable magnetic disk 120, and a removable optical disk 124, it is to
15 be appreciated that other types of computer readable media which can store data
16 that is accessible by a computer, such as magnetic cassettes or other magnetic
17 storage devices, flash memory cards, CD-ROM, digital versatile disks (DVD) or
18 other optical storage, random access memories (RAM), read only memories
19 (ROM), electrically erasable programmable read-only memory (EEPROM), and
20 the like, can also be utilized to implement the exemplary computing system and
21 environment.

22 Any number of program modules can be stored on the hard disk 116,
23 magnetic disk 120, optical disk 124, ROM 112, and/or RAM 110, including by
24 way of example, an operating system 126, one or more application programs 128,
25 other program modules 130, and program data 132. Each of such operating

1 system 126, one or more application programs 128, other program modules 130,
2 and program data 132 (or some combination thereof) may include an embodiment
3 of a page-view recording with click-through tracking.

4 Computer system 102 can include a variety of computer readable media
5 identified as communication media. Communication media typically embodies
6 computer readable instructions, data structures, program modules, or other data in
7 a modulated data signal such as a carrier wave or other transport mechanism and
8 includes any information delivery media. The term “modulated data signal”
9 means a signal that has one or more of its characteristics set or changed in such a
10 manner as to encode information in the signal. By way of example, and not
11 limitation, communication media includes wired media such as a wired network or
12 direct-wired connection, and wireless media such as acoustic, RF, infrared, and
13 other wireless media. Combinations of any of the above are also included within
14 the scope of computer readable media.

15 A user can enter commands and information into computer system 102 via
16 input devices such as a keyboard 134 and a pointing device 136 (e.g., a “mouse”).
17 Other input devices 138 (not shown specifically) may include a microphone,
18 joystick, game pad, satellite dish, serial port, scanner, and/or the like. These and
19 other input devices are connected to the processing unit 104 via input/output
20 interfaces 140 that are coupled to the system bus 108, but may be connected by
21 other interface and bus structures, such as a parallel port, game port, or a universal
22 serial bus (USB).

23 A monitor 142 or other type of display device can also be connected to the
24 system bus 108 via an interface, such as a video adapter 144. In addition to the
25 monitor 142, other output peripheral devices can include components such as

1 speakers (not shown) and a printer 146 which can be connected to computer 102
2 via the input/output interfaces 140.

3 Computer 102 can operate in a networked environment using logical
4 connections to one or more remote computers, such as a remote computing device
5 148. By way of example, the remote computing device 148 can be a personal
6 computer, portable computer, a server, a router, a network computer, a peer device
7 or other common network node, and the like. The remote computing device 148 is
8 illustrated as a portable computer that can include many or all of the elements and
9 features described herein relative to computer system 102.

10 Logical connections between computer 102 and the remote computer 148
11 are depicted as a local area network (LAN) 150 and a general wide area network
12 (WAN) 152. Such networking environments are commonplace in offices,
13 enterprise-wide computer networks, intranets, and the Internet. When
14 implemented in a LAN networking environment, the computer 102 is connected to
15 a local network 150 via a network interface or adapter 154. When implemented in
16 a WAN networking environment, the computer 102 typically includes a modem
17 156 or other means for establishing communications over the wide network 152.
18 The modem 156, which can be internal or external to computer 102, can be
19 connected to the system bus 108 via the input/output interfaces 140 or other
20 appropriate mechanisms. It is to be appreciated that the illustrated network
21 connections are exemplary and that other means of establishing communication
22 link(s) between the computers 102 and 148 can be employed.

23 In a networked environment, such as that illustrated with computing
24 environment 100, program modules depicted relative to the computer 102, or
25 portions thereof, may be stored in a remote memory storage device. By way of

1 example, remote application programs 158 reside on a memory device of remote
2 computer 148. For purposes of illustration, application programs and other
3 executable program components, such as the operating system, are illustrated
4 herein as discrete blocks, although it is recognized that such programs and
5 components reside at various times in different storage components of the
6 computer system 102, and are executed by the data processor(s) of the computer.

7 8 9 10 **Exemplary Architecture**

11 Fig. 2 is a block diagram of an exemplary annotation system 200 in
12 accordance with the described systems and methods. The annotation system 200
13 includes a storage layer 202, an extraction layer 204 and an application layer 206.
14 The various components shown in each particular layer may change with each
15 particular implementation.

16 Generally, the storage layer 202 takes raw strokes, mark-up, free notes and
17 documents as input and stores the classified and recognized annotation and its
18 anchored text into an annotation and document database 208. The storage layer
19 202 includes an anchoring module 210 and an annotation re-form module 212.
20 The anchoring module 210 collects information about the location of each
21 annotation with respect to objects on a document page. This allows the
22 annotations to be anchored so that they appear in the same location each time the
23 document is recalled. The annotation re-form module 212 is configured to retrieve
24 anchoring data when a page is recalled and to re-form each annotation in its proper
25 place in when the page is rendered.

1 The extraction layer 204 includes components which extract related
2 semantic features from annotations and actions. Two kinds of features are
3 extracted: the annotations per se, and context information related to the
4 annotations. Context information may include, but is not limited to, time,
5 location, surrounding text, etc.

6 The extraction layer 204 includes an extraction module 220, a feature
7 database 222, a learning module 224, a query modification module 226 and an
8 information filtering module 228. The extraction module 220 is configured to
9 extract all kinds of information, including document features, annotated text,
10 annotation features, context, etc. The extracted features are stored in the feature
11 database 222.

12 The learning 224 module is configured to track user behavior and use
13 information obtained therefrom to refine the annotation system. The query
14 modification module 226 is configured to assist in constructing search queries that
15 are used to locate content and local keywords in which a user may be interested.
16 The information filtering module 228 is configured to filter and refine raw
17 information obtained in a search for more appropriate presentation to a user. The
18 feature database 222 also includes a history 229 that stores search terms for each
19 particular user of a system and for all users of a system. The history 229 is
20 referred to (as described in greater detail below) when weighting search terms for
21 context searches and keyword searches.

22 The application layer 206 contains applications that utilize and support
23 annotations. In the example shown, the application layer 206 includes a personal
24 information management application 230 and a web browser 232. An annotation
25 summarize application 234 is also stored in the application layer 206 and is used to

1 collect and summarize information about annotations entered into the system. In
2 systems that provide for multiple users, the annotation summarize application 234
3 differentiates between annotations for each user and can summarize them
4 separately or as a whole.

5 6 **Anchoring Annotations**

7 Although not necessarily required, in some implementations it may be
8 desirable to anchor annotations to objects so that the annotations may be re-formed
9 in the same relative location on a page when the page is re-formed, such as when
10 the page is refreshed or when a user returns to a previously-annotated page. The
11 following example shows but one technique for anchoring annotations. Although
12 the following example is described with regard to Internet Explorer®, the
13 described technique may be used in other contexts.

14 To anchor an annotation, the annotation module 210 obtains a rectangle
15 bounding box for each object in a web page from a document object model
16 (DOM) tree associated with the web page. From this information, a two-
17 dimensional position is derived for each object. The annotation is also modeled as
18 a polygon in a two-dimensional space. The annotation anchoring problem is then
19 essentially a polygon intersection problem. The object and annotation information
20 (e.g. positions) are stored in the annotation and document database 208.

21 When a page is re-drawn, the annotation re-form module 212 retrieves
22 position information from the annotation and document database 208. The
23 similarity between each page object and the anchored objects are calculated to
24 determine if they are the same. If so, then any annotations that reside on the page
25

1 are re-formed in the same position relative to the page objects as they originally
2 appeared.

3 A special problem arises in the case where the web page contains a text
4 paragraph, since the text paragraph will only be one object. In such a case, the
5 text object is divided into smaller objects, e.g. each word in a paragraph is made
6 an object (i.e. a "word object"). A pseudo-tag is inserted for each word object and
7 the two-dimensional position for each word-object is obtained. The process then
8 continues as described above.

9 10 **Launching Tasks With Annotations**

11 Launching tasks is an important feature for pen-based computing systems.
12 In other types of computers, a task may be launched with a few clicks of a mouse
13 on toolbars or icons. In pen-based systems, a pen takes the place of a mouse and
14 is used differently. Also, in many pen-based systems, display space is at a
15 premium and, as a result, toolbars and icons may not be present to provide a
16 simple way to launch a task. Even when icons may be used in a system to launch
17 a task, the icons may not be available when another application is operating.

18 In the systems and methods described herein, a task may be launched
19 automatically from a particular type of annotation. For example, an application
20 may be configured to recognize a date annotation and to automatically launch a
21 calendar task when a date annotation is detected. The feature provides a way for a
22 user to disable the automatic launch if desired.

23 **Exemplary Methodological Implementation - Scheduling a Task**

24 In a typical system, when a user wants to schedule a task in a personal
25 information management application (e.g. Fig. 2, 230) the user must launch the

1 application and set up the date and time information (e.g. start date, end date, due
2 date, etc.). In addition, the user must also enter a task title to describe a name for
3 the task, and a body description to briefly describe the task. Entering such
4 information is fairly simple when use of a keyboard is available. However, it is
5 very time consuming in a pen-based system. The methodological implementation
6 described below helps simplify such a task.

7 Fig. 3 is a flow diagram 300 depicting a methodological implementation of
8 scheduling a task from an annotation. At block 302, the extraction module 220
9 monitors an opened document to detect annotation entries. The opened document
10 may be an Internet page, a word processing document, an e-mail message, etc. As
11 previously discussed, the extraction module monitors for markup or handwritten
12 characters entered by a user. In this particular example, the extraction module 220
13 monitors for an annotation that includes a date, i.e. characters in a date format.
14 The annotation may circle a date, underline a date, handwrite a date, etc.

15 For example, consider an Internet page that includes a line of text that is
16 underlined by a user, the line reading: “the Tablet PC which will launch on
17 November 7.” The extraction module 220 is configured to identify “November 7”
18 as a date, which will initiate the presently described function.

19 If an annotation is not recognized as being a date (“No” branch, block 304),
20 then the extraction module 220 simply continues to monitor the annotations at
21 block 302. If, however, an annotation is recognized as being a date “Yes” branch,
22 block 304), then the extraction module determines if a date launch feature is
23 enabled. If the feature is not enabled (“No” branch, block 306), then the
24 extraction module 220 continues to monitor annotations at block 302. If the
25 feature is enabled (“Yes” branch, block 306), then an associated application

1 (personal information management application, Fig. 2, 230 in this example) is
2 launched at block 308. If the application is already running and minimized, then it
3 is merely maximized at block 308.

4 The application is opened to a page associated with the date identified in
5 the annotation at block 310. The extraction module examines the context of the
6 content at the location of the annotated date (block 312) to derive a suggested title
7 and body description for the entry at that particular date. Here, the suggested title
8 derived from the context may be "Tablet PC" and the body description might read
9 "Tablet PC launch."

10 At block 314, the suggested title and body description are presented to the
11 user in a pop-up type notification. If the user wants to accept the suggestions, the
12 user can do so simply by tapping on (or otherwise accepting) the suggested
13 response ("Yes" branch, block 316") and the tile and body description are entered
14 at block 320. If the suggestions are unacceptable ("No" branch, block 316), then
15 the user is presented with a box in which the user can enter a title and body
16 description (block 318). After the user entries are received, they are entered at
17 block 320.

18 At block 322, the application is closed or minimized, depending on the
19 particular implementation, and the process reverts to block 302 where the
20 annotation monitoring continues.

21 Using the techniques described above, a user of a pen-based computing
22 system can more efficiently enter tasks in a time management application.

23 **Methodological Implementation - Annotation-Based Content Search**

24 As a user is reading an electronic document, the user may have some
25 interest in further examining issues addressed in the document. In a typical

1 computer system, the user can simply type a query in the search box of the local
2 machine or an Internet search engine to find related information. However, this is
3 not such a simple task in a pen-based computer system. In addition, the mere
4 entry of search terms in a search box does not reveal a context of the user's query
5 and, as such, several results may be obtained that - while relevant to the search
6 terms themselves - may not be relevant to the actual query the user has formed in
7 his own mind.

8 Fig. 4 is a flow diagram 400 that depicts a methodological implementation
9 of a content search based on user annotations and keywords collected from the
10 context of the annotations (performed by the query modification module 226, Fig.
11 2). The content search process depicted in Fig. 4 is driven by a user's annotations
12 and takes into account the context of the annotations used to drive the search. It is
13 noted that, although not shown in the flow diagram 400, the feature depicted by
14 the flow diagram 400 may be conveniently disabled by a user. In such a case, the
15 steps in the process described below - other than the monitoring step - will not be
16 executed.

17 There are two methods in which a query context may be used to better
18 refine the search results to the user's interest. One method is query generation, in
19 which the context is used to form the search query. The other method is search
20 result re-ranking, wherein a typical search is performed on one or more keywords
21 derived from an annotation, and then the context is applied to the search results
22 and the search result re-ranked so that the more relevant results are listed before
23 the less relevant results.

24 The flow diagram 400 and the following description focus on query
25 generation using a query context. Those skilled in the art will appreciate that the

1 same steps performed in a different order may be used to provide the search result
2 re-ranking technique.

3 At block 402, annotations are monitored in an opened document. The
4 document may be any type of electronic document, such as a web page, a word
5 processing document, an e-mail message, and the like. As long as no annotation
6 that triggers a content search is entered ("No" branch, block 404), then the
7 monitoring continues at block 402. If an annotation is entered that is determined
8 to be an annotation to trigger a content search ("Yes" branch, block 404), then base
9 query terms are taken from the annotation (block 406) to begin building the query
10 that will ultimately be used in the search.

11 There are at least two different ways in which a content search may be
12 recognized and initiated by a system. In a first implementation, the user annotates
13 the terms in which the user is interested and then performs an operation that tells
14 the system to perform a content search. This operation may be creating an
15 annotation that the system recognizes as a command to perform a content search,
16 selecting a search icon, or the like. In at least one implementation, the system may
17 pop up a menu that includes a content search option upon recognizing the
18 annotations or upon an event of a user moving a pen or stylus over the annotation.
19 The user may then select a content search option from the popup menu.

20 In a second implementation, the system automatically initiates a content
21 search by recognizing that the user may want to perform a content search. This
22 may be implemented by having particular annotations initiate a content search.
23 For example, a system may be programmed to initiate a content search when a
24 user circles certain terms, when a user double underlines text, when a user
25 highlights text, etc.

1 As an example of deriving base query terms, suppose a user is reading a
2 web page article about a motorcycle club for enthusiasts of the "Indian" brand of
3 motorcycles and the user – who happens to be interested in buying a motorcycle –
4 wants to see more information about "Indian" motorcycles. So as the user is
5 reading the article, the user makes an annotation about the word "Indian." This
6 annotation may be a circle around the word, a double underline of the word, etc.

7 The formulation of the search query is then started with the base term
8 "Indian." However, if the search were performed on this singular term, the user
9 would get results ranging from articles about American Indians to articles about
10 the country India to book reviews on a book entitled "Indian Summers in New
11 England." An article in which the user may be genuinely interested – such as a
12 consumer report about "Indian" motorcycles – would likely be listed far down the
13 list, causing the user to have to peruse a significant number of titles before
14 locating one of interest.

15 But at block 408, <level-1> keywords are located in a document object
16 model (DOM) tree associated with the document and they are added to the query.
17 <Level-1> keywords are defined as features of the document object which is
18 relatively close to the annotation in the DOM tree. Exactly how close to the
19 annotation is an implementation detail that may be defined for each particular
20 application. In this example, the additional search term "motorcycle" may be
21 added to the base query term of "Indian." This narrows the search results
22 considerably.

23 In addition, at block 410, <level-2> keywords are located in the DOM tree.
24 <Level-2> keywords are features that are in a range further away from the
25 annotation in the DOM tree than <level-1> features are. In the present example,

1 this may add additional search terms such as “meetings,” “information” and
2 “news” (such as in a sentence that “members hold monthly meetings where they
3 share information, news and anecdotes related to Indian motorcycles.”

4 The search terms are then assigned a particular “weight” at block 412. The
5 annotated, or base, term is given a highest weight and <level-2> terms are given
6 the lowest weight, with <level-1> terms being given a weight somewhere in
7 between the two. The query search terms and their respective weights are used to
8 generate a keyword vector at block 414.

9 A keyword vector includes keywords that each have a weight associated
10 with them. In the present example, a keyword vector may be derived as: [(Indian,
11 0.6)(motorcycle, 0.4)(information, 0.1)(meetings 0.1)]. The subsequent search
12 will then rank results according to the weights.

13 The keyword vectors may be used in any way known in the art to perform
14 weighted searches. In at least one implementation, a keyword vector is used with
15 a cosine measurement to calculate the similarity with the documents:

16

$$17 \quad Sim(q, D) = \frac{\sum_{t_j \in \cap(q_j, D_j)} q_j D_j}{\sqrt{\sum q_k^2} \sqrt{\sum D_k^2}}$$

18

19

20 At block 416, the user is provided with a pop-up menu to specify a domain
21 where a search should be performed. For instance, the pop-up menu may specify a
22 local computer, a local network drive, the Internet, etc. In addition, the pop-up
23 menu may also specify a “Do Not Search” option wherein the user may cancel the
24 search. The specified domain is then searched at block 418 and the results are
25 returned to the user at block 420.

1 It will be appreciated that a query containing the weighted terms "Indian,"
2 "motorcycle," "meetings," "information" and "news" is much more likely to
3 return results close to the top that deal with what the user is really looking for than
4 a simple query of "Indian." As such, the user saves time and effort by having such
5 a feature available to him.

6 As previously noted, an alternative to the query generation described above
7 is re-ranking the results. In such a process, a search is initially performed using
8 only the annotation term, "Indian." The keyword vector is generated as described
9 above and, after the numerous results are received, the results are re-ranked
10 according to the keyword vector. Such a technique provides results similar to the
11 results obtained using the query generation method.

12 **Methodological Implementation - Annotation-Based Keyword Search**

13 In other instances, a user may want to find key terms in a document that the
14 user is currently reading. The typical method of entering key terms in a search
15 box to find in the present document are difficult to execute in a pen-based system.
16 In addition, the method must be repeated for each key term the user wishes to find
17 in the document.

18 For a pen-based system, it is more efficient for the user to annotate one or
19 more key terms that the user wishes to locate in a document the user is reading and
20 have the key terms automatically located. The annotation-based keyword search
21 described below depicts such a process.

22 Fig. 5 is a flow diagram 500 that depicts a methodological implementation
23 of an annotation-based keyword search. At block 502, annotations are monitored
24 in an opened document. As previously discussed, the document may be any type
25 of electronic document, such as a web page, a word processing document, an e-

1 mail message, and the like. As long as no annotation that triggers a keyword
2 search is entered (“No” branch, block 504), then the monitoring continues at block
3 502. If an annotation is entered that is determined to be an annotation to trigger a
4 keyword search (“Yes” branch, block 504), then keywords likely to be of interest
5 to the user are determined at block 506.

6 Determining one or more annotations that will trigger a keyword search
7 may be implemented in one or more of several ways. For example, a system may
8 be programmed to initiate a keyword search if a user moves a pen over the
9 annotation to popup a menu and then selects a keyword search item from the
10 menu. In at least one other implementation, a certain type of annotation may be
11 used to trigger a keyword search.

12 There are four methods for making the determination of what keywords are
13 likely to be of interest to the user that may be used in this step. In this particular
14 implementation, the information filtering module 228 (Fig. 2) is configured to
15 perform the tasks described below.

16 A first method determines $\text{Pr}(w | u)$: given the current user (u), what words
17 (w) may be of interest to him? To make such a determination, the learning module
18 (224, Fig. 2) is configured to monitor a user’s behavior and store certain data
19 related to that behavior in the feature database (222, Fig. 2).

20 To implement a long-term interest model, the learning module 224 tracks
21 words appearing in all documents read by the user and the information filtering
22 module 228 determines the importance of the words appearing in the current
23 document by determining the frequency at which the words in the current
24 document were tracked by the learning module 224.

1 Tracking each particular word may provide an overly burdensome task on a
2 system. Therefore, certain parts of grammar that typically appear in documents
3 may be routinely omitted in such an implementation. For example, words such as
4 “the”, “or”, “because”, etc. may not be tracked.

5 It may be desirable for such a process to provide more of a focus on a short-
6 term interest of the user. In such a case, only words appearing in documents
7 recently accessed by the user may be compared against the words in the current
8 document. For example, the words in the current document may only be
9 compared against documents accessed by the user in the previous week.

10 A second method for determining keywords likely to be of interest to the
11 user may focus on an annotation made by the user. In such an implementation, the
12 information filtering module 228 determines words that frequently appeared in
13 documents that contained the annotated word(s). Those words are then
14 determined to be keywords that may be of interest to the user.

15 A third method for determining keywords likely to be of interest to the user
16 determines key related words based on the user’s past annotations. In such an
17 implementation, a history of a user’s past annotations is maintained. Keywords
18 are compared against the history and a weight associated with the keywords is
19 adjusted according to whether the keyword is present in the history and, if so,
20 when the keyword was last entered as an annotation by the user.

21 A higher weight will be associated with a keyword that is found to have
22 been entered (by referencing the history) relatively recently by the user. Similarly,
23 a keyword found in the history but used a longer period of time ago, will receive a
24 smaller weight adjustment. Keywords not found in the history will not have an
25

1 increased weight, but will maintain a general weight or have an already assigned
2 weight decreased.

3 A fourth method is similar to the third method, except that past annotations
4 of all users – not only the current user – are considered. The same technique
5 described above – using an annotation history – is also utilized here, except that a
6 history containing all annotations from all users is used.

7 After keywords that are likely to be of interest to the user are determined,
8 these keywords are presented to the user as suggested keywords (block 508). The
9 user then selects one or more of the suggested keywords at block 510. The
10 selected keywords are then highlighted throughout the document at block 512,
11 each unique keyword being highlighted in a different color (if the system display
12 is a color display).

13 **Methodological Implementation - Annotation-Based Gestures**

14 Another way in which pen-based computing systems may be easier to
15 manage by utilizing annotations is a case wherein a user may assign a particular
16 task to a particular gesture. A gesture is an ink object that commands or controls
17 functionality of a computer rather than an ink object that is handwriting or a
18 drawing. Therefore, a user may make an annotation and then associate an
19 underlying task with that annotation. Thereafter, when the system detects the
20 same annotation, the system will execute the task associated with the annotation.

21 Fig. 6 is a flow diagram 600 that depicts a methodological implementation
22 of using annotations as gestures in a pen-based system. At block 602, the
23 extraction module 220 (Fig. 2) monitors annotations in an opened electronic
24 document. As long as no annotation is detected that signifies a gesture (“No”
25 branch, block 604), then the monitoring continues at block 602. If a gesture is

1 detected (“Yes” branch, block 604), then it is determined if the gesture is a new
2 gesture or a previously used gesture.

3 There are many ways in which a user may signify to a system that a
4 particular annotation is a gesture. In one implementation, the user makes an
5 annotation and presses on the annotation for more than one second. The extended
6 tap by the pen indicates to the system that the annotation being pointed to is meant
7 to be a gesture.

8 When a gesture is indicated, the system must search the feature database
9 222 to determine if the gesture has been used before. If the gesture is a new
10 gesture (“Yes” branch, block 606), then the annotation is recorded, i.e. stored in
11 the feature database 222 (block 608). After the gesture indication is made by the
12 user, the user then enters one or more commands that are to be associated with the
13 gesture annotation. These commands that are related to the gesture are received at
14 block 612 and stored in the feature database 222 with the related annotation at
15 block 614. The gesture commands are then executed at block 616.

16 If the gesture is located in the feature database 222 after the gesture
17 indication is detected, i.e. the gesture is not a new gesture, but has been used
18 before (“No” branch, block 606), then the commands associated with the gesture
19 are retrieved from the feature database 222 and are executed at block 616.

20 As an example, consider a user who wants to create a gesture to open a
21 Calendar application. The user decides that an infinity mark (∞) will be used to
22 launch the Calendar application. The user marks the annotation (infinity mark) on
23 the electronic document that is open, then taps and holds the pen on the infinity
24 mark. The first time the user does this, the system will not find a gesture and will
25 prompt the user to enter commands to associate with the annotation. The user then

1 takes steps to launch the Calendar application. These steps are then associated
2 with the infinity mark and the annotation and associated steps are stored.

3 The next time the user wishes to launch the Calendar application, the user
4 makes the infinity mark and presses and holds the mark. The system will
5 recognize the gesture and will execute the commands to launch the Calendar
6 application, thus saving the user time and steps in launching the application.

7 As with the other features, the system includes a convenient way that the
8 user may turn this feature off if so desired.

9 10 **Conclusion**

11 The systems and methods as described thus provide a way to better manage
12 annotations in a pen-based system so that the system is easier for a user to use to
13 perform typical tasks. Providing a pen-based system that is more convenient for a
14 user to utilize promotes the use of pen-based systems in the marketplace.

15 Although details of specific implementations and embodiments are
16 described above, such details are intended to satisfy statutory disclosure
17 obligations rather than to limit the scope of the following claims. Thus, the
18 invention as defined by the claims is not limited to the specific features described
19 above. Rather, the invention is claimed in any of its forms or modifications that
20 fall within the proper scope of the appended claims, appropriately interpreted in
21 accordance with the doctrine of equivalents.